

Agreement No.: 5107-INT-2023-LC-AM1
Interoperability Solution
Lake County
May 28, 2026

CALIFORNIA MENTAL HEALTH SERVICES AUTHORITY
“CalMHSA”
PARTICIPATION AGREEMENT AMENDMENT NO. 1
INTEROPERABILITY SOLUTION PROGRAM

This Participation Agreement Amendment No. 1 (“Amendment No. 1”) amends Participation Agreement No. # 5107-INT-2023-LC (the “Agreement”) between the California Mental Health Services Authority (“CalMHSA”) and Lake County (“Participant”). CalMHSA and Participant may be referred to individually as a “Party” and collectively as “Parties.”

Purpose

CalMHSA and Participant agree to amend the Agreement to add:

1. A subscription for the CalMHSA Connex Admission, Discharge, Transfer (“ADT”) Dashboard at no additional cost to Participant*; and
2. Implementation and subscription services for the CalMHSA Connex Closed Loop Referral (“CLR”) and Prior Authorization (“PA”) Module.

*Please Note: The no-cost subscription described above applies only to the ADT Dashboard service. The term for the ADT Dashboard no-cost subscription shall run from January 1, 2026 through December 31, 2026, unless earlier terminated in accordance with the Agreement. Should Participant elect to continue ADT services after December 31, 2026, any applicable subscription and implementation fees shall apply and will be invoiced in accordance with CalMHSA’s then-current pricing. The CLR/PA Module implementation and subscription services described in this Amendment No. 1 are subject to the funding provisions set forth below. All other services under the Agreement shall continue to follow the term specified in the Agreement.

Term

The term of the services and associated fees under this Amendment No. 1 shall run from January 1, 2026 through December 31, 2026, unless earlier terminated in accordance with the Agreement. All other services under the Agreement shall continue to follow the term specified in the Agreement.

Additional Funding

This Amendment No. 1 increases the total funding under the Agreement by \$22,500.00, which is in addition to the total funding stated on the Cover Sheet of the Agreement. Upon execution of this Amendment No. 1, the total funding under the Agreement shall increase to \$245,448.00.

Revised Exhibit A – Program Description and Funding

This Amendment No. 1 Revises Exhibit A - Program Description and Funding of the Agreement to include the following:

1. CalMHSA Connex ADT Dashboard

Project Overview

The CalMHSA Connex ADT Dashboard is an add-on module within the Connex platform designed to receive, process, and display real-time and historical ADT message events from approved third-party intermediaries, such as Qualified Health Information Organizations (“QHIOs”) and other health information exchange organizations. The service includes aggregation of Health Level Seven (“HL7”) ADT messages (event types A01–A08), advanced search and filtering capabilities, and configurable alert notifications that comply with the Health Insurance Portability and Accountability Act (“HIPAA”). The Dashboard enables Participant staff to view and act on timely admission, discharge, and transfer data to support care coordination and operational decision-making. The subscription also includes first-line (“Tier 1”) technical support, secure role-based access, and CalMHSA’s facilitation of technical interfaces with ADT data sources.

This Amendment No. 1 revises Exhibit A of the Agreement to include the following:

Scope of Work:

CalMHSA Responsibilities

CalMHSA will provide Participant the following services:

- Provide the Participant with access to the CalMHSA Connex ADT Dashboard via the Connex portal.
- Receive, process, and aggregate Health Level Seven (HL7) ADT messages (event types A01–A08) from approved third-party intermediaries.
- Provide real-time and historical visibility into ADT message events through the ADT Dashboard interface.
- Enable filtering by patient identifiers, location, facilities, message types, and event timestamps.
- Generate real-time notifications within Connex. Offer Tier 1 support for access and display-related issues.
- Facilitate technical connectivity with approved ADT data sources, including coordination meetings, documentation, and testing.
- Monitor ADT Dashboard infrastructure for availability and performance and maintain transparency about data sources and processing pathways.

Participant Responsibilities

Participant shall be responsible for the following:

- Submit formal user access requests for individuals requiring use of the ADT Dashboard and ensure that access is granted in accordance with applicable laws, organizational policies, and privacy requirements.
- Maintain an up-to-date list of authorized users and promptly notify CalMHSA of any additions, removals, or changes to user access.

Agreement No.: 5107-INT-2023-LC-AM1
Interoperability Solution
Lake County
May 28, 2026

- Actively facilitate and/or participate in engagement efforts with third-party sources from whom ADT messages are requested. If the Participant identifies a potential exchange partner for ADT data, the Participant must collaborate with CalMHSA during outreach and procurement. All ADT interface requests will be evaluated on a case-by-case basis and may be subject to additional implementation costs.
- Notify CalMHSA of any technical access issues, message delivery failures, or discrepancies in received ADT data.

Note: CalMHSA serves solely as a technical intermediary for the ADT Dashboard. CalMHSA does not control, validate, or assume responsibility for the content, accuracy, or completeness of ADT messages received from third-party sources.

Relationship to Third-Party Health Information Exchange Organizations

The Parties acknowledge and agree that the CalMHSA Connex ADT Dashboard utilizes Admission, Discharge, and Transfer (“ADT”) message data obtained by CalMHSA from third-party Qualified Health Information Organizations (“QHIOs”) and other health information exchange organizations pursuant to separate data-exchange agreements entered into by CalMHSA. CalMHSA does not originate, modify, validate, or exert control over the accuracy, completeness, timeliness, availability, or content of any ADT data supplied by such organizations, and CalMHSA’s obligations with respect to such data are limited to the receipt, transmission, and display of that data within the Connex platform in the form received.

The Parties further acknowledge and agree that the JPA-Business Associate Agreement executed between the Parties shall remain in full force and effect. Notwithstanding the foregoing, and solely with respect to the services, data flows, and obligations expressly described in this Amendment No. 1 related to the receipt, transmission, display, or handling of ADT data obtained from third-party QHIOs or other health information exchange organizations, in the event of any conflict or inconsistency between the JPA-Business Associate Agreement and this Amendment No. 1, the terms of this Amendment No. 1 shall govern and control.

Nothing in this Amendment shall be construed to expand, reduce, or waive CalMHSA’s obligations under the JPA-Business Associate Agreement except to the extent necessary to align with the technical, contractual, or operational conditions imposed by the applicable QHIOs and other health information exchange organizations from which ADT data is received.

2. CalMHSA Connex Closed Loop Referral (CLR) and Prior Authorization (PA) Module

Project Overview

CalMHSA will design, develop, and implement a Closed Loop Referral and Prior Authorization framework within the Connex platform to support county behavioral health agencies. The project will be compliant with the CMS Interoperability and Prior Authorization Final Rule (CMS-0057-F), the DHCS interoperability

and data-sharing mandates, and Assembly Bill 352, HIPAA, and 42 CFR Part 2. Through this initiative, Participant, managed care plans, and community-based partners will have the tools to initiate, track, and confirm referrals and prior authorization requests within a single, secure system.

The CLR/PA implementation will help to improve coordination of care by allowing real-time data exchange between participating organizations and automating documentation processes that traditionally required manual effort.

Project Objectives

The CLR/PA Module delivers a unified referral and authorization system that enables electronic management of end-to-end workflows within Connex, supports CalAIM and state/federal interoperability policies, and strengthens communication among counties, providers, managed care plans, and community partners. It provides real-time visibility into referral status, service engagement, and authorization outcomes while reducing administrative burden through workflow automation and built-in validation processes.

Deliverables

1. Deliverable 1 – Project Initiation and Launch: CalMHSA will conduct a kickoff meeting to confirm project scope, governance structure, and key roles. Access to shared tools, testing environments, and communication channels will be established. A detailed project timeline, sprint plan, and progress tracking process will be developed to guide implementation.
2. Deliverable 2 – Referral and Prior Authorization Framework: CalMHSA will configure the backend infrastructure to support Coverage Requirements Discovery (CRD), Document Template & Rules (DTR), and Prior Authorization Submission (PAS) functions using FHIR standards. This includes enabling real-time determination of authorization requirements, facilitating submission of supporting documentation, and implementing audit logging for all referral and authorization transactions.
3. Deliverable 3 – User Interface and Referral Tools: CalMHSA will develop an intuitive interface within Connex for initiating, reviewing, and tracking referrals and authorizations. The interface will support secure document uploads, dynamic form visibility, and automated confirmation receipts, while maintaining real-time synchronization between the user interface and backend services. CalMHSA-configured assessments will be linked to service referrals to support end-to-end tracking of client progress, and all system actions will be logged for transparency.
4. Deliverable 4 – Access Controls and Security Configuration: CalMHSA will implement role-based permissions for county and provider users (including clinicians, administrators, and other designated roles). Field-level security settings will be configured to align with HIPAA, 42 CFR Part

2, and DHCS privacy and consent standards. Comprehensive system logging will confirm user activity and data transactions.

5. Deliverable 5 – Training and Deployment: Following testing and validation, CalMHSA will deploy the production-ready CLR/PA framework within Connex. Training sessions, user guides, and technical documentation will be provided, and CalMHSA will conduct post-launch stabilization and knowledge-transfer sessions with county staff.

Participant Referral Partner Engagement

During implementation, CalMHSA and the Participant shall collaborate to identify and onboard referral partners such as community-based organizations and managed care plans. Engagement activities will include hosting orientation sessions, confirming technical readiness, reviewing workflows and data requirements, and clarifying privacy and referral confirmation practices.

By project completion, referral partners will be trained or connected to the Connex platform, and referral loop closure tracking will be validated across county and partner systems.

Scope of Work

This Amendment No. 1 revises Exhibit A of the Agreement to include the following:

CalMHSA Responsibilities

CalMHSA will provide Participant the following services:

- CalMHSA shall lead the design, development, and deployment of the Closed Loop Referral and Prior Authorization (CLR/PA) framework within Connex.
- CalMHSA shall support alignment of the solution with CMS and DHCS interoperability standards (FHIR-based CRD, DTR, PAS).
- CalMHSA shall manage project coordination, quality assurance, user support, and performance monitoring throughout implementation.
- CalMHSA shall provide training sessions, user documentation, and implementation materials to Participant staff.
- CalMHSA shall maintain regular communication with Participant throughout progress reports, status updates, and milestone tracking.
- CalMHSA shall deliver post-launch first-line (“Tier 1”) technical support and assistance through the Connex helpdesk.

Participant Responsibilities

Participant shall be responsible for the following:

- Participant shall designate a project lead and subject matter experts to participate in all phases of implementation, testing, and validation.

- Participant shall provide existing referral workflows, policies, and documentation to guide configuration.
- Participant shall coordinate engagement of local provider networks and Managed Care Plans (MCPs) to align referral processes.
- Participant shall participate in training and onboarding sessions.
- Participant shall comply with all data-sharing, privacy, and security standards required under the Agreement and applicable law.
- Participant shall maintain an up-to-date list of authorized users and promptly notify CalMHSA of any changes to user access or staffing.

Funding

Pursuant to Exhibit A – Program Description and Funding and Exhibit B – General Terms and Conditions, Section V. Fiscal Provisions of the Agreement, the following funding terms are hereby added for the period January 1, 2026 through December 31, 2026:

A. CLR/PA Module Funding

SERVICE TYPE	ONE-TIME FEE
Implementation & Project Management Fee	\$12,500
SUBSCRIPTION FEE	ANNUAL RATE
Annual Subscription Fee • Transaction Limit: 250 per month	\$10,000
OPTIONAL ADDITIONAL SERVICES	RATE
Additional Monthly Transaction Capacity • Adds 500 additional transactions per month above the standard allotment in the subscription • May be added in 500-transaction increments	\$500 per Month

Invoicing: Participant shall be invoiced for the One-Time Implementation & Project Management Fee upon execution of this Amendment No. 1. The Annual Subscription Fee shall be invoiced quarterly in arrears, with invoices issued at or after the close of each calendar quarter for services rendered during the preceding quarter. Optional Additional Services, including additional monthly transaction bundles, shall also be invoiced quarterly in arrears based on actual usage. All invoices issued under this Amendment No. 1 shall be payable within thirty (30) days of receipt in accordance with the payment terms of the Agreement.

Agreement No.: 5107-INT-2023-LC-AM1
Interoperability Solution
Lake County
May 28, 2026

The amounts above are in addition to the total funding stated on the Cover Sheet of the Agreement. All other fiscal provisions of the Agreement shall remain in full force and effect.

All other terms or provisions in the Agreement not cited in this Amendment No. 1, shall remain in full force and effect.

CalMHSA

DocuSigned by:
Signed: Amie Miller Name (Printed): Dr. Amie Miller, Psy.D., MFT
82E9EFBAB7CC446...
Title: Executive Director Date: 6/1/2026

Participant:

Signed: _____ Name (Printed): _____
Title: Chair, Board of Supervisors Date: _____
Signed:  Digitally signed by Lloyd C. Guintivano
DN: cn=Lloyd C. Guintivano, o=US, ou=County of Lake, ou=Office of the County Counsel, email=Lloyd.Guintivano@lakecountycal.gov, Date: 2026.05.28 17:14:15 -0700
Name (Printed): Lloyd C. Guintivano
Title: County Counsel Date: May 28, 2026